PTO-1390 (Rev. 10-2004)

App; oved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Frademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)**

ATTTORNEY'S DOCKET NUMBER 06260 USA

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		U.S. APPENDING (1800) 1274 (1.5)		
INTERNATIONAL APPLICATION NO. PCT/GB03/01875	INTERNATIONAL FILING DATE May 1, 2003	PRIORITY DATE CLAIMED May 1, 2002		
TITLE OF INVENTION Medical Gas Recirculation System				
APPLICANT(S) FOR DO/EO/US Neil Alexander Downie and Stuart Alexander Kerr				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.				
2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.				
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.				
4. The US has been elected (Article 31).				
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))				
a. is attached hereto (required only if not communicated by the International Bureau).				
b. As been communicated by the International Bureau.				
c. is not required, as the appl	ication was filed in the United States Receiv	ving Office (RO/US).		
6. An English language translation of the	ne International Application as filed (35 U.S.	C. 371(c)(2)).		
a. is attached hereto.				
b. 🔲 hâs been previously subm	itted under 35 U.S.C. 154(d)(4).			
7. Amendments to the claims of the International	ernational Application under PCT Article 19	(35 U.S.C. 371(c)(3))		
a. are attached hereto (requ	ired only if not communicated by the Interna	ational Bureau).		
b. have been communicated	b. have been communicated by the International Bureau.			
c. have not been made; hov	c. have not been made; however, the time limit for making such amendments has NOT expired.			
d. have not been made and will not be made.				
An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).				
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).				
10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	ne annexes of the International Preliminary	Examination Report under PCT .		
Items 11 to 20 below concern document(s) or information included:			
11. An Information Disclosure Statemen	t under 37 CFR 1.97 and 1.98.			
12. An assignment document for recordi	ing. A separate cover sheet in compliance v	with 37 CFR 3.28 and 3.31 is included.		
13. A preliminary amendment.				
14. An Application Data Sheet under 37	14. An Application Data Sheet under 37 CFR 1.76.			
15. A substitute specification.				
16. A power of attorney and/or change of address letter.				
17. A computer-readable form of the sec	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.			
18. A second copy of the published Inte	A second copy of the published International Application under 35 U.S.C. 154(d)(4).			
19. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).				
	d Declaration; Cover Sheet of International Publication ss Mail Transmittal EL685813619; Return Receipt Pos			

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

DT09 Rec'd PCT/PTO 27 OCT 2004

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21. The following fees are submitted: BASIC NATIONAL FEE (CFR 1.492(a)(1)–(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO	VLY			
BASIC NATIONAL FEE (CFR 1.492(a)(1)–(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO				
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and International Search Report not prepared by the EPO or JPO				
USPTO but International Search Report prepared by the EPO or JPO\$950.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO\$790.00 International search fee (37 CFR 1.482) paid to USPTO\$790.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$750.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)\$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT = Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). CLAIMS NUMBER FILED NUMBER EXTRA RATE Total claims 24 - 20 = 4 X \$18.00 \$ 72 Independent claims 2 - 3 = 0 X \$88.00 \$ 0 MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$300.00 \$ TOTAL OF ABOVE CALCULATIONS = \$ 1022 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced \$ by ½. SUBTOTAL = \$ 1022 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$				
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO				
but all claims did not satisfy provisions of PCT Article 33(1)-(4)				
and all claims satisfied provisions of PCT Article 33(1)-(4)				
from the earliest claimed priority date (37 CFR 1.492(e)). CLAIMS NUMBER FILED NUMBER EXTRA RATE Total claims 24 - 20 = 4 X \$18.00 \$ 72 Independent claims 2 - 3 = 0 X \$88.00 \$ 0 MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$300.00 \$ TOTAL OF ABOVE CALCULATIONS = \$ 1022 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½. SUBTOTAL = \$ 1022 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$				
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TOTAL NATIONAL FEE = \$ 1022				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				
TOTAL FEES ENCLOSED = \$ 1022				
Amount to be refunded:				
Amount to be charged:				
a. A check in the amount of \$ to cover the above fees is enclosed.				
b. Please charge my Deposit Account No. <u>01-0493</u> in the amount of \$ <u>1022</u> to cover the above fees. A duplicate copy of this sheet is enclosed.				
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-0493 . A duplicate copy of this sheet is enclosed.				
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.				
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.				
SEND ALL CORRESPONDENCE TO:				
Chillary fore				
Customer Number: 23543				
Willard Jones, IT	_ '			
31,172	ļ			
REGISTRATION NUMBER				

DT02 Rec'd PCT/PT0 2 7 0CT 2004

Attorney Docket Number

06260 USA

Applicant

Downie, Neil Alexander, et al.

Title

Medical Gas Recirculation System

The following papers are submitted for the above-identified invention for entry into the U.S. National stage under 35 U.S.C. 371, based on the International Application No. PCT/GB03/01875:

- 1. Transmittal Letter with Calculation Sheet, in duplicate
- 2. Unexecuted Declaration
- 3. Cover Sheet of International Publication No. WO 03/092776 A2
- 4. Preliminary Amendment
- 5. Return Receipt Postcard

Express Mail Label No.

EL685813619US

Date of Deposit:

10/27/2004

I certify that the above-identified documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service, under 37 CFR 1.10, on the date indicated above and is addressed to:

Mail Stop PCT Commissioner for Patents P. O. BOX 1450 Alexandria, VA 22313-1450

Willard Jones, II

Signature